Annex 1



Only for Passive NFFEs or Passive NFEs

Self Certification for Controlling Person/s of a Passive NFFE or NFE (Controlling Person/s means those natural persons that effect ultimate effective control over the applicant – for example directors, shareholders, senior managers, trustees, beneficiaries, founders, settlors or partners). We are providing you with only one copy of this page, for simplicity and to avoid unnecessary wastage of paper. Please print/make as many copies as required so that you provide us with the information requested below on each of your Controlling Persons.

* Tax Country below means any country where a Controlling Person has tax residency, obligations, reporting or filing requirements.

Information related to Controlling Person	Controlling Person 1	Controlling Person 2	Controlling Person 3
Capacity/relationship of Controlling Persons to applicant (eg directors, shareholders, senior managers, trustees, beneficiaries, founders, settlors or partners)			
Full name (individual or entity)			
Physical address			
Postal address (if different to physical address)			
Date of birth (individual only)	D D M M Y Y Y Y	DDMMYYYY	DDMMYYYY
RSA identity number			
Passport number			
Passport country of issue			
Passport expiry date 2nd passport number (if relevant)	D D M M Y Y Y Y	DDMMYYYY	DDMMYYYY
Passport country of issue			
Passport expiry date	D D M M Y Y Y Y	DDMMYYYY	DDMMYYYY

* Tax Country below means any country where a Controlling Person has tax residency, obligations, reporting or filing requirements. Information related to Controlling Person Controlling Person 1 Controlling Person 2 Controlling Person 3 3rd passport number (if relevant) Passport country of issue Passport expiry date Country of birth/incorporation/establishment Country of operation/where the applicant trades (entities only) Contact telephone number Country of contact number No No No Is the Controlling Person a US Person/Specified US Person Countries of citizenship (individuals only) Controlling Person's Tax Country* Tax reference/Tax Identification Number (TIN) If no TIN, provide reason Controlling Person's 2nd Tax Country* (if relevant) Tax reference/Tax Identification Number (TIN) If no TIN, provide reason Controlling Person's 3rd Tax Country* (if relevant) Tax reference/Tax Identification Number (TIN) If no TIN, provide reason

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